



D Commerce BANK AD

TARIFF ON FEES AND COMMISSIONS 2010



X. TRADING AND OPERATIONS WITH FINANCIAL INSTRUMENTS		
10. 1.	Bank accounts of financial intermediaries (nonbanking institutions) for servicing DVP payments on trading with financial instruments	
10. 1. 1.	Opening an account	No fee
10. 1. 2.	Servicing an account with monthly statements included	BGN 20
10. 1. 3.	Daily processing of the money settlement related with the deals on the stock exchange	BGN 5.00
10. 1. 4.	Transfer of amounts from a DVP account (for payments on trading with financial instruments) to another payment account of the investment intermediary to the Bank	BGN 0,50
10. 2.	Intermediation on the completion of a deal with financial instruments	
10. 2. 1.	Trading with shares/stocks	
10. 2. 1. 1.	Trading with shares/stocks, and rights on a regulated market	
10. 2.1.1.1.	up to BGN 50 000	2% of the value of any deal; min. BGN 20
10. 2.1.1.2.	above BGN 50 000	subject to agreement; min. BGN100
10. 2. 2.	Deals completed through COBOS electronic system for placing orders on the account of the customer	
10. 2. 2. 1.	up to BGN 50 000	1% of the value of any deal
10. 2. 2. 2.	above BGN 50 000	subject to agreement; min. BGN 100
10. 2. 3. 1.	Annual fee for trading through COBOS electronic system for placing orders	BGN 100 (incl. issuing a certificate with 365-days validity)
10. 2. 3. 2.	Trading with shares and rights outside regulated market	2% of the value of any deal; min. BGN 100
10. 2. 3. 3.	Completion of block deals under the terms of the Regulations of the Bulgarian Stock Exchange-Sofia AD (BSE-Sofia)	subject to agreement
10. 2. 3. 4.	Trading on the "Privatization market" to BSE-Sofia AD, based on the value of the order	subject to agreement; min. BGN 30
10. 2. 4. 1.	Trading with corporate, municipal and mortgage bills	subject to agreement
*10. 2. 5.	Management of financial instruments portfolio	subject to agreement
10. 3.	Depository and custodian services	
10. 3. 1.	Registration of a client and opening/closing of a client's account for securities to the Registry of the Bank at the Central depository (CD)	no fee
10. 3. 2.	Issuing of a depository receipt by CD	BGN 10.00
10. 3. 3.	Check-up of an account balance with CD upon the client's written request	BGN 5.00
10. 3. 4.	Transfer of securities from a client's account to another client's account to the Bank's Registry at CD	BGN 20.00
10. 3. 5.	Transfer of securities from a client's account with another investment intermediary to an account with the Bank as an investment intermediary	no fee
10. 3. 6.	Transfer of securities from a client's account from Registry of the Bank at CD to another client account with another investment intermediary	BGN 20.00
10. 3. 7.	Monthly, financial instruments maintenance fee on accounts with the Bank, in its capacity of investment intermediary. This fee shall be accrued on the last date of each month.	charges collected on the value of the client's portfolio (on market prices by the end of each month)
10. 3. 7. 1.	for non-professional clients	0. 5% annually; min. BGN 10.00
10. 3. 7. 2.	for professional clients	no fee
*10. 3. 8. 1.	Financial instruments safekeeping monthly fee, collected on the last date of each month	charges collected on the value of the client's portfolio (on market prices by the end of each month)
*10.3.8.1.1.	for non-professional clients	0. 5% annually; min. BGN 10.00
*10.3.8.1.2.	for professional clients	no fee
10. 3. 9.	Representation of a shareholder to the General assembly of an issuer	subject to agreement
10. 3. 10.	Trading with compensatory instruments	subject to agreement
10. 3. 11.	Investment consultations and analyses	subject to agreement
10. 3. 12.	Designing of prospects for offering of securities	subject to agreement
10. 3. 13.	Bond origination and underwriting; the fee volume shall depend on type of origination and underwriting, and the issue amount.	subject to agreement
10. 3. 14.	Depository Bank	subject to agreement
*10. 3. 15.	Drafting a reference on the financial instruments as maintained by the Bank, regardless the reports delivered to clients by virtue of MFIA (Markets in Financial Instruments Act) and Regulations # 38	BGN 50.00
10. 3. 16.	Disbursement of income on corporate securities and municipal bonds income	subject to agreement
10. 3. 17.	Registry of entry services with CD	
10. 3. 17. 1.	Registration of a pledge agreement	BGN 60.00
10. 3. 17. 2.	Registration of additional circumstances concerning a pledge agreement	BGN 20.00
10. 3. 17. 3.	Registration of distraint and adjudgement of bankruptcy procedures	BGN 10.00 + BGN 5 for any additional circumstance
*10.3.17.4.	Issuing a certificate for availability /nonavailability of registered circumstances	BGN 10.00 per a registration
10. 3. 17. 5.	Blocking of securities	BGN 40.00
10. 3. 17. 6.	Issuing of a blocking certificate	BGN 10.00
10. 3. 17. 7.	Other services related to investment intermediation, administration and custody of securities	subject to agreement
10. 4.	Trading and operations with financial instruments on foreign markets	
	Trading with shares/stocks	
10. 4. 1.	at a regulated market in USA	0. 5% of the price; min. USD 50
10. 4. 2.	at a regulated market in Europe	0. 5% of the price; min. EUR 50

10. 4. 3.	at another regulated market abroad	subject to agreement
10. 4. 4.	Trading with bonds upon client's request	0. 1% of the value of the deal; min. EUR 200
10. 4. 5.	Trading with futures	
10. 4. 5. 1.	at a regulated market in USA	USD 20 per contract
10. 4. 5. 2.	at a regulated market in Europe	EUR 20 per contract
10. 4. 5. 3.	at another regulated market abroad	subject to agreement
10. 4. 6.	Trading with options	
10. 4. 6. 1.	US	USD 20 per contract
10. 4. 6. 2.	European	EUR 20 per contract
10. 4. 7.	Depository services	
10. 4. 7. 1.	Maintenance of registry accounts	EUR 25 monthly
10. 4. 7. 2.	Transfer of securities (Free of payment)	EUR 30
10. 4. 7. 3.	Transfer of securities (DVP)	EUR 20